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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/517,411	12/03/2004	Jonathan Rogers	EL/2-22695/A/CGC 2119/PCT	4023
324 7590 03/19/2508 JoAnn Villamizar Ciba Corporation/Patent Department S40 White Plains Road P.O. Box 2005			EXAMINER	
			THOMPSON, CAMIE S	
			ART UNIT	PAPER NUMBER
Tarrytown, NY 10591			1794	
			MAIL DATE	DELIVERY MODE
			03/19/2008	PAPER

## Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

## Application No. Applicant(s) 10/517,411 ROGERS ET AL. Office Action Summary Examiner Art Unit Camie S. Thompson 1794 -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --Period for Reply A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS. WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). Status 1) Responsive to communication(s) filed on Amendment filed December 17, 2007. 2a) This action is FINAL. 2b) This action is non-final. 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213. Disposition of Claims 4) Claim(s) 1-4 and 7-10 is/are pending in the application. 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration. 5) Claim(s) \_\_\_\_\_ is/are allowed. 6) Claim(s) 1-4 and 7-10 is/are rejected. 7) Claim(s) \_\_\_\_\_ is/are objected to. 8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement. Application Papers 9) The specification is objected to by the Examiner. 10) The drawing(s) filed on is/are; a) accepted or b) objected to by the Examiner. Applicant may not request that any objection to the drawing(s) be held in abevance. See 37 CFR 1.85(a). Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152. Priority under 35 U.S.C. § 119 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some \* c) None of: Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). \* See the attached detailed Office action for a list of the certified copies not received.

PTOL-326 (Rev. 08-06)

1) Notice of References Cited (PTO-892)

Notice of Draftsperson's Patent Drawing Review (PTO-948)

Information Disclosure Statement(s) (PTO/SB/08)
 Paper No(s)/Mail Date \_\_\_\_\_\_.

Attachment(s)

Interview Summary (PTO-413)
 Paper No(s)/Mail Date.

6) Other:

5) Notice of Informal Patent Application

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#### DETAILED ACTION

 Applicant's amendment and accompanying remarks filed December 17, 2007 are acknowledged.

### Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.
- Claims 1-4 and 7-10 are rejected under 35 U.S.C. 102(e) as being anticipated by Ise et al.,
   U.S. Patent Number 6,962,755.

Ise discloses a light-emitting element comprising at least a light-emitting layer containing a light emitting material and a host material disposed between two electrodes (column 3, line 55-column 4, line 5). The reference discloses that the light emitting layer comprises a compound with the general formula (see column 13)



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Is e reads on the present claims when  $n^A$  is 2;  $X^{A21}$  and  $X^{A24}$  are nitrogen;  $X^{A22}$  and  $X^{A23}$  combine to form a ring, preferably a 6-membered aromatic ring, and  $L^A$  can be a bivalent group such as

2 when a, b and c are zero and  $Ar^1$  and  $Ar^2$  are . The Ise reference also reads on instant claim 8 when the 2H-benzotriazole has the general formula IIIa.

#### Response to Arguments

4. Applicant's arguments filed December 17, 2007 have been fully considered but they are not persuasive. Applicant argues that the Ise reference does not read on the present claims. It is disclosed in column 13 of the Ise reference formula A-II.

Formula A-II reads on the present claims when  $X^{A22}$  and  $X^{A23}$  form a 6-membered aromatic ring;  $X^{A21}$  and  $X^{A24}$  are both nitrogen;  $n^A$  is 2 and  $L^A$  is a phenylene ring. The Ise reference reads on formula III with  $Y^1$  being represented by  $L^A$ . Applicant argues that  $Ar^1$  and  $Ar^2$  are defined in the present claims as a C6-C-30 aryl.  $X^{A22}$  and  $X^{A23}$  form a 6-membered aromatic ring, which is a C6-C30 aryl. The rejection is maintained.

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 THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communication from the examiner should be directed to Camie S. Thompson whose telephone number is (571) 272-1530. The examiner can normally be reached on Monday through Friday from 7:30 am to 4:00 pm. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Terrel Morris, can be reached at (571) 272-1478. The fax phone number for the Group is 571-273-8300

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/Terrel Morris/ Supervisory Patent Examiner Group Art Unit 1794